

PROMOTING FINANCIAL CAPABILITY IN NC:

Report from the 2014 Assets Learning Conference

October 22, 2014



SPEAKER BIOGRAPHIES

FRANK MCCAIN,

Vice President of Community Investment & Impact, United Way of Greater Greensboro

Frank and his team are responsible for the investment of over \$6,000,000 on an annual basis to address human service needs facing the Greensboro community.

Prior to joining United Way, Mr. McCain was the Executive Director of the Financial Futures Foundation. As the director, he was responsible for overseeing all aspects of the foundation. This included the administration of the Financial Futures/Kenan Flagler Youth Institute. Prior to starting the Financial Futures Foundation, Frank served as a Wealth Advisor in the Private Client groups of Merrill Lynch and UBS PaineWebber. In those roles, he was responsible for managing the assets of high net worth individuals and corporate clients. Mr. McCain began his career at First Union National Bank (Wachovia).

Frank is very active in the Greensboro community. Some of his present and past affiliations include the board memberships of YMCA, Reading Connections, Greensboro Chamber of Commerce, Greensboro Center City Corporation and the Greensboro Housing Authority. Additionally, Frank is an Elder at St. James Presbyterian Church and a member of the Sigma Phi Fraternity (The Boulé). Mr. McCain holds a Bachelor of Science degree in Business from NC A&T State University. He is married to the former Vicki Hinton and has two children, Taylor and Mac.

DONNA GALLAGHER,

Executive Director, The Collaborative

Donna Gallagher is the Executive Director of The Collaborative, a statewide agency whose mission is to build assets and to promote financial security among North Carolina's low income residents. Donna is a Certified Public Accountant and worked as a banker for 15 years before joining the public benefit sector. As an advocate for the needs of low income and at risk families for over 20 years, she has served in leadership roles for child welfare, housing, and juvenile justice agencies.

In 2008, she was honored by Auburn University as one of their 40 outstanding graduates of the College of Business, marking the College's 40th anniversary.

CRAIG MAY,

Carolinas Market Manager, Chase Middle Market Banking

Craig May is Market Manager for Chase Middle Market Banking in North Carolina and South Carolina. He leads a team of commercial banking professionals who provide a full range of financial services to mid-sized companies. Craig brings to this role over 30 years of experience in corporate and commercial banking.

Craig started his career at Southern National Bank of NC then joined First Union National Bank, a Wells Fargo predecessor, in 1987, where he held various positions in Commercial Banking. Most recently, he served as Regional President of Wells Fargo Middle Market Banking in North Carolina.

Craig earned a B.A. in political science from The Citadel in Charleston, SC and a Master's degree in Business Administration from the Babcock Graduate School of Management at Wake Forest University in Winston-Salem, NC.

Active in the community, Craig serves on the board of the Mecklenburg City Council, Boy Scouts of America as a member of the Executive Committee and is chair of the Good Scout Breakfast. He has held various board and leadership roles with organizations including the NASCAR Hall of Fame, the Charlotte Regional Partnership, Habitat for Humanity, United Way of Central Carolinas, the Arts & Science Council, the Elder Center, The Exchange/SCAN Center, and the Salvation Army. He resides in Charlotte with his wife and their youngest son.

RAQUEL LYNCH,

Chief Strategy Officer, Crisis Assistance Ministry

Raquel's primary functions include coordinating the plan and execution of Crisis Assistance Ministry's strategy by driving organizational change via projects and management of key initiatives. She also provides vision and leadership in the development of a comprehensive Community Advocacy Program to lead this community to understand and address poverty. Ms. Lynch holds a BA in Communications from Hofstra University and is also a graduate of the American Leadership Forum and the Leadership Development Initiative. She recently completed the NYU's Wagner IGNITE Fellowship and the Wake Forest University's Business Essentials for Nonprofit Organizations program.

Before joining Crisis Assistance, her work focused on programs and infrastructure development of two of Charlotte's leading immigrant rights organization, the Latin American Coalition and the International House respectively.

She has served in a variety of boards and committees to include past chair of NC Justice Center, a member of State Advisory Panel of the Z. Smith Reynolds Foundation, and as a panel chair of the Arts and Science's Cultural Project & Access Programs grants. Currently, she serves on the board of Legal Services of Southern Piedmont and the Theatre Charlotte Diversity Task Force. She shares her home with husband Ken Mooneyham and their son Pablo.

FRAN ROSEBUSH,

Program Manager, Corporation for Enterprise Development

As a Program Manager at CFED, Fran is helping build up the Assets & Opportunity Network's Learning Community by collaborating nationally to create ways to speed up the diffusion and adoption of innovative and promising practices for financial security and asset-building strategies. Previously, Fran served as Senior Manager of THRIVE at United Way of Greater Houston, which involved leading a collaboration focused on providing comprehensive financial stability services; seeking out, investing in and implementing innovative asset-building strategies; and advocating for predatory lending regulation at the city and state levels on behalf of the

collaboration. Fran holds an MBA and Masters of Social Work from the University of Houston where she studied nonprofit management and social justice policy advocacy.

COLLEEN BRIGGS,

Vice President of Financial Capability and Consumer Initiatives within Global Philanthropy, JPMorgan Chase & Co.

As a Vice President at JPMorgan Chase & Co., a global leader in corporate philanthropy with \$200 million invested in communities annually, Colleen is responsible for helping establish and execute the firm's philanthropy and corporate responsibility strategy in Financial Capability, including the Financial Solutions Lab. The Lab is a \$30 million, five-year initiative to bring together entrepreneurs and leading experts in technology, behavioral economics and design to improve consumer financial security. Colleen also manages the portfolio of national grants, impact framework and grand guidelines for the overall financial capability strategy.

Prior to joining, Colleen was the Economic Policy Advisor to Senator Debbie Stabenow. In this role, Colleen managed the Senator's economic portfolio, including policy related to banking, derivatives oversight, tax, manufacturing, small business, job creation, housing and pensions. Colleen managed the Dodd Frank derivatives market reforms for the Senate Agriculture Committee, authored the "Cash for Clunkers" legislation, and helped draft the Recovery Act, TARP, the Dodd Frank Act and healthcare reform.

Colleen earned an MBA from the Yale School of Management and a BA from the University of North Carolina at Chapel Hill.

LUCY GORHAM,

Acting Executive Director, UNC Center for Community Capital

As the acting Executive Director at UNC Center for Community Capital, Lucy is responsible for overseeing day-to-day operations, research and strategic planning. She continues to serve as senior research associate for financial inclusion and economic mobility, expanding the center's work in those areas, serving as co-principal investigator on a major multi-year housing counseling study

funded by the U.S. Department of Housing and Urban Development, and leading the team that conducts the center's growing program evaluation portfolio.

Gorham brings diverse experience in both asset-building program implementation and policy development. Her past research considered the changing shape of the U.S. labor market for less-educated workers and its impact on growing economic inequality; trends in wealth and earnings inequality in urban and rural geographies; best practices in asset-building programs and policy; post-purchase housing counseling; and the Earned Income Tax Credit.

Before joining the center, she spent nine years designing and implementing asset-building programs at MDC, a Durham, NC non-profit, conducted research through the UNC Center for Urban and Regional Studies, and held professional staff positions in the U.S. Congress on the Joint Economic Committee and the House Subcommittee on Intergovernmental Relations and Human Resources. She serves on the boards of several nonprofits and the steering committees of the CFED Assets and Opportunity network and the North Carolina Assets Alliance. She holds a master's and PhD degree from the Massachusetts Institute of Technology.

SARAH GLOVER, **Community Impact Manager,** **United Way of Greater Greensboro**

Sarah is a Manager in the Community Investment & Impact department at United Way of Greater Greensboro, where she serves as the resident expert on services that help people have "Income and Resources". Related fields include financial capability, crisis assistance, employment, housing, disability, aging, literacy, refugee services, and support for vulnerable populations in general. In addition to staffing the volunteer program evaluation process for partner agency funding, Sarah represents UWGG in community task forces and committees for the Income & Resources area, currently covering initiatives in workforce development, college degree completion, and asset building as a path out of poverty. She also coordinates Free Income Tax Preparation for Greater Greensboro. Prior to joining UWGG in 2009, Sarah was a researcher at the Center

for Creative Leadership, focusing on innovation, collaboration and cross-cultural leadership. She completed a bachelor's degree in Psychology at Guilford College and graduate coursework in nonprofit management at the University of North Carolina at Greensboro.

SOFIA CRISP,

Executive Director, Housing Consultants Group

Sofia Crisp has more than 20 years experience as a Housing Professional, specializing in Real Estate Brokerage and education of first time homebuyers. She is the founder and Executive Director of Housing Consultants Group (HCG), formerly Guilford County Homeownership Center. HCG provides education to families Pre and Post purchase through Housing Counseling and Financial Capability Education.

Sofia holds several certifications through NeighborWorks America, and is a graduate of Guilford Nonprofit Consortium Executive Director Academy, High Point University Nonprofit Management Institute, and Duke University Certification in Non Profit Management.

Sofia believes in the importance of community service and currently serves on boards of Habitat for Humanity of Greater Greensboro and Homes4NC. She also enjoys her service with Girl Scouts with the Troop affiliated with Oak Ridge First Baptist Church.

In her spare time, Sofia enjoys exercising, gardening and working with various ministries at her church.

VICTOR GALLOWAY,

President, Victor Galloway Influencer Group, LLC

Victor Galloway is an expert in developing and executing strategies based on financial objectives, customer segmentation and economic market environment to achieve business goals. He is driven by solid business acumen complemented by strong leadership skills, tireless work ethic, and analytical/quantitative background with extensive experience in organizational development and driving behavior to execute on business strategies. He has experienced dramatic successful results in managing multi-layered organizations

with highly skilled professionals and leaders, and has extensive executive leadership in government affairs, economic development and public policy with proven capability to forge strategic alliances between private and public sector stakeholders.

He has years of expertise in working across multiple business channels, public institutions and government agencies with C-Level Executives, academic leaders, senior White House staffers, government agencies and Congressional members to forge economic development and collaboration among small businesses, major corporations, higher education institutions, and community development practitioners. He is a nationally recognized speaker in segment marketing, business and community sustainability as well as economic impact of public policies on affordable capital and credit. He collaborated on research and policy projects around affordable housing, aging, student debts, racial wealth gaps and racial disparities. He serves as a leader in the faith community and advisor to faith-based leaders across the country.

Mr. Galloway is very active in civic engagement by chairing and serving on numerous boards in the private and public sector. He is a graduate of North Carolina State University College of Design.

SADAF KNIGHT,

Policy and Research Director, The Support Center

Sadaf Knight serves as The Support Center's Policy and Research Director. She provides research and analysis on public policy issues related to community development financing and economic development. She also oversees TSC's impact measurement efforts. Sadaf's previous experience includes policy work in New York and Massachusetts focusing on state budget, tax policy and economic development issues. Sadaf has a Certificate in Non Profit Management from Duke University. She received a Bachelor of Science degree in Conservation & Resources Studies from the University of California, Berkeley, and a Master of Urban Planning from Columbia University.